

## PPFAS Mutual Fund

Systematic Withdrawal Plan  
(SWP) Form

Distributors Code	Sub-Distributors Code	EUIN No.	Date of Receipt	Bank Sr. No.
103807		E133071		

Please (✓) any one. In the absence of indication of the option the form is liable to be rejected.

<input type="checkbox"/> <b>New Registration:</b> For enrollment under SWP facility	<input type="checkbox"/> <b>Change in withdrawal amount:</b> For Change in withdrawal amount under SWP facility	<input type="checkbox"/> <b>Cancellation:</b> For cancellation of SWP facility
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## EXISTING UNIT HOLDER'S INFORMATION

Folio No.	For Existing Investors
Name	Beneficial Investor
PAN	Enclosed <input type="checkbox"/> KRA Compliant

## SYSTEMATIC WITHDRAWAL PLAN

Scheme Name	<input type="checkbox"/> Direct Plan <input type="checkbox"/> Regular Plan
Option	
Fixed Withdrawal Amount (₹)	Amount (in words)
Total Amount of SWP (₹)	Amount (in words)
Fixed Withdrawal Frequency	[Please tick] <input type="checkbox"/> Monthly (Minimum 12 months)
Date (Only One)	[Please tick] <input type="checkbox"/> 1st <input type="checkbox"/> 5th <input type="checkbox"/> 10th (Default) <input type="checkbox"/> 15th <input type="checkbox"/> 20th <input type="checkbox"/> 25th
Withdrawal Period From	MMDDYYYY To MMDDYYYY

## DECLARATION

Having read and understood the contents of the Scheme Information Document of the Scheme, I / We hereby apply for units of the Scheme and agree to abide by the terms, conditions, rules and regulation governing the Scheme. I / We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions for the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I / We have understood the details of the Scheme and I / We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I / We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / We hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the Law. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

**For NRIs only:** I / We confirm that I am / we are Non Residents of Indian nationality / origin and that I / We have remitted funds from abroad through approved banking channels or from funds in my/ our Non-Resident External / Non-Resident Ordinary / FCNR account. I / We confirm that details provide by me / us are true and correct.

First / Sole Applicant / Guardian	Second Applicant	Third Applicant

To be signed by all applicants/Unitholders if mode of holding is "Joint".

## INSTRUCTIONS

- Options available and Minimum Amount :
  - Fixed withdrawal :** Investors can withdraw fixed amount of ₹ 1,000/- each and in multiple of Re. 1 thereafter.
  - SWP request should be for a minimum period of 12 months.
- SWP is not available for investments which are pledged.
- PPFAS AMC will endeavor to credit the redemptions payouts directly to the designated Bank A/c of the unitholders of PPFAS Mutual Fund schemes through any of the available electronic mode (i.e. RTGS / NEFT / Direct Credit / NECS). PPFAS AMC reserves the right to use any of the above mode of payment as deemed appropriate for all folios where the required information is available. The Mutual Fund, however, reserves the right to issue a cheque / demand draft inspite of an investor opting for Electronic Payout.
- Default Dates:** In case of any ambiguity in selection of withdrawal frequency, the SWP date will be 10th of each month in case of Fixed withdrawal facility.
- A minimum period of 15 calendar days shall be required for registration of SWP. Unit-holder may change the amount ( but not below the minimum specified amount)/ frequency by giving a written notice at any Investor Service Center at least 15 calendar days prior to next SWP execution date.
- The SWP may be terminated by a written notice of 15 calendar days by a unit-holder. This SWP termination request may be sent to the office of AMC or at any Investor Service Center.

## ACKNOWLEDGMENT SLIP (To be filled in by the Unit holder)

Scheme Name	<input type="checkbox"/> Direct Plan <input type="checkbox"/> Regular Plan
Option	
Folio No.	Date
Received from Mr. / Ms.	
SWP Frequency	<input type="checkbox"/> Monthly
Total Amount of SWP (₹)	
ISC Stamp & Signature	

## TERMS & CONDITIONS / INSTRUCTIONS FOR SWP

1. Systematic Withdrawal Plan (SWP) is available to investors in the Scheme(s) of PPFAS Mutual Fund. The SWP Facility is available only for units held / to be held in Non - demat Mode in the Transferor and the Transferee Scheme.
  2. This enrollment form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick in the appropriate box for relevant options wherever applicable. Please do not overwrite. For any correction / changes (if any) made on the application form, the sole/all applicants are requested to authenticate the same by canceling and re-writing the correct details and counter-signing the same. This enrollment form, complete in all respects, should be submitted at any of the Official Points of Acceptance of PPFAS Mutual Fund. Incomplete enrollment form is liable to be rejected.
  3. Unitholders are advised to read the Scheme information Document of the respective Scheme(s) and Statement of Additional Information carefully.
  4. New Investors who wish to enroll for SWP are required to fill the SWP enrollment form along with the Scheme Application Form. Existing unit holders should provide their Folio Number. Unitholders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing folio number details and would prevail over any conflicting information furnished in this form. Unitholders name should match with the details in the existing folio, failing which this application form is liable to be rejected.
  5. **Unitholders must use separate 'SWP' enrollment forms for different Schemes/Plans/Options.**
  6. **Exit Load: In respect of amount withdrawn under SWP, the Exit Load, if any, applicable to the Scheme/Plan as on the date of allotment of units shall be levied.**
  7. Unit holder can avail of this facility by choosing date of his/her preference as given in the SWP form. In case the chosen date falls on a holiday or during a Book Closure period the immediate next Business Day will be deemed as the SWP withdrawal date. In case no date is mentioned 10th will be considered as the Default Date. The amount withdrawn (subject to deduction of tax at source, if any) under SWP by Redemption shall be converted into the specific Scheme / Plan Units at the NAV based prices as on the SWP withdrawal date of month, as applicable, and such Units will be subtracted from the Unit Balance of the Unit holders.
  8. **SWP Plan:**
    - I. SWP Plan is available for Growth and Dividend Option.
    - II. Unitholders under the Fixed Plan can redeem (subject to completion of lock-in/ pledge period, if any), under each Scheme / Plan / Option a minimum of Rs. 1000/- and in multiples of Rs. 1/- thereafter.
    - III. **Commencement date for SWP is the date from which the first withdrawal will commence.**
    - IV. The amount withdrawn under SWP by Redemption shall be converted into the specific Scheme/Plan Units at the NAV based prices as on the SWP withdrawal date and such Units will be subtracted from the Unit Balance of the Unitholders. In case these dates fall on a holiday or fall during a Book Closure period, the next Business Day will be considered for this purpose. If there is inadequate balance on the SWP date, the SWP will be processed for the balance units and SWP will continue. If there is nil balance on the SWP date, the SWP will be automatically terminated and there will not be any further trigger.
- If you decide to opt for this facility, you should be aware of the possibility that you could erode your capital.
- Example:** If the Unitholder decides to withdraw Rs. 1,000 every month and the appreciation is Rs. 100, then such redemption proceeds will comprise of Rs. 100 from the capital appreciation and Rs. 900 from the Unitholder's capital amount.
9. PPFAS Mutual Fund / PPFAS Asset Management Private Limited reserves the right to change / modify the terms and conditions of SWP.