

# Systematic Withdrawal Plan (SWP)

Please refer instructions before filling the form

I/We hereby apply to the Trustees of Invesco Mutual Fund for Systematic Withdrawal Plan (SWP) enrollment under the following scheme and I / We agree to abide by the terms and conditions of the Plan

For details on transaction charges payable to distributors, please refer to KIM.

I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

**Sign Here - Sole/First Applicant/Guardian/POA**

**Sign Here - Second Applicant**

**Sign Here - Third Applicant**

## Key Partner/Agent Information

Distributor / Broker ARN ARN - <b>103807</b>	Sub-Broker ARN Code ARN -	Internal Sub-Broker/Employee Code
Employee Unique Identification No. (EUN) <b>E133071</b> (ARN holder or Of employee/ Relationship Manager/Sales Person of the Distributor)		Registered Investment Advisor Code

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

Folio Number

Application Number

### 1. Applicant's Personal Details

**First/Sole Applicant** **PAN/KRN**

Name

KIN

### 2. Systematic Withdrawal Plan (SWP) Mandate

(Investors applying under the direct plan must mention "Direct" in the box provided below)

Invesco India

Plan  Option

Withdrawal Option  Fixed Amount  Capital Appreciation Amount

Frequency  Weekly (1st business day of each week)  Monthly (Default)  Quarterly

SWP Date (✓ Any One)  3<sup>rd</sup>  10<sup>th</sup>  15<sup>th</sup> (Default)  20<sup>th</sup>  25<sup>th</sup>

Period of Enrollment From (1st Installment)  M  M  Y  Y  Y  Y To (Last Installment)  M  M  Y  Y  Y  Y

Withdrawal Amount (Per Installment)  (Not Applicable for Appreciation Option)

No. of Installments  Total Withdrawal

### 3. Applicant's Signature

Please note : Signature(s) should be as it appears on the Application Form and in the same order. In case the mode of holding is joint, all Unit holders are required to sign

Sole / First Applicant / Guardian / POA <div style="border: 1px solid black; height: 40px;"></div>	Second Applicant <div style="border: 1px solid black; height: 40px;"></div>	Third Applicant <div style="border: 1px solid black; height: 40px;"></div>
Date <input style="width: 100%;" type="text"/>	Place <input style="width: 100%;" type="text"/>	

## Instructions for Systematic Withdrawal Plan (SWP) Form

### Common Instructions

1. New Investors who wish to enroll for SWP should fill this enrollment form in addition to the application form.
2. Existing investors need to provide the Folio Number and SWP details only.
3. Unitholders are advised to consult their tax consultant with regard to the treatment of the withdrawal of units from the taxpoint of view.

### Features and Terms & Conditions of Systematic Withdrawal Plan (SWP)

1. The amount withdrawn under SWP by redemption will be converted into Units at the NAV based prices and the number of Units so arrived will be deducted from the Unit balance to the credit of that Unit holder.
2. Eligible Schemes for SWP: Invesco India Dynamic Equity Fund, Invesco India Midcap Fund, Invesco India Contra Fund, Invesco India Growth Opportunities Fund, Invesco India Financial Services Fund, Invesco India Tax Plan (an open ended equity linked saving scheme with a statutory lock in period of 3 years and tax benefit), Invesco India Largecap Fund, Invesco India PSU Equity Fund, Invesco India Infrastructure Fund, Invesco India Multicap Fund, Invesco India Arbitrage Fund, Invesco India Arbitrage Fund, Invesco India Feeder - Invesco Pan European Equity Fund, Invesco India Feeder - Invesco Global Equity Income Fund, Invesco India Gold Fund, Invesco India Liquid Fund, Invesco India Treasury Advantage Fund, Invesco India Short Term Fund, Invesco India Money Market Fund, Invesco India Ultra Short Term Fund, Invesco India Corporate Bond Fund, Invesco India Banking & PSU Debt Fund, Invesco India Gilt Fund, Invesco India Credit Risk Fund. The above list is subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of Invesco Mutual Fund for updated list.

3. This facility offers two options to the Unit holders:

- a. Fixed option: Under this option, the Unit holder can withdraw a fixed amount on weekly/monthly/quarterly (Jan/April/July/Oct) basis by redemption of units in the Unit holders' account.
- b. In case of Appreciation option, the unit holder can withdraw Rs. 500 and above on weekly/monthly/quarterly (April/July/Oct/Jan) basis by redemption of appreciation, if any, in the value of Units.

In case of appreciation option, if, on the date of withdrawal, there is no appreciation or appreciation is less than Rs. 500, the redemption / withdrawal under this option will not be made.

4	Particulars	Weekly	Monthly	Quarterly (Jan/April/July/Oct)
	Minimum balance in the source scheme at the time of enrolment	Rs. 6,000/-	Rs. 6,000/-	Rs. 6,000/-
	SWP Dates Offered	1st business day of each week	3rd or 10th or 15th or 20th or 25th of every month	3rd or 10th or 15th or 20th or 25th of every designated month
	Minimum amount for SWP installment (Rs. 500/- for Invesco India Tax Plan)	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,500/- & in multiples of Re. 1/- thereafter
	Minimum Number of Installments	6	6	4

Minimum number of installments for Invesco India Tax Plan should be 12.

5. In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case the SWP date is not specified or in case of ambiguity, the SWP transaction will be processed on 15th of month / quarter. In case the end date is not specified, the Fund would continue the SWP till it receives termination notice from the investor. SWP in a folio of minor will be registered only upto the date of minor attaining majority though the instruction may be for the period beyond that date.
6. In case the investor purchases additional Units in the Scheme under the same folio, the SWP facility would be extended to such units also.
7. The facility will be automatically terminated if the units in the Scheme are pledged or upon receipt of intimation of death of the Unit holder and if all units are liquidated or withdrawn from the source (transferor) or the units balance under the folio becomes Nil.
8. The redemption under SWP will be subject to applicable Exit Load.
9. SIP and SWP cannot be simultaneously registered for a folio for the same scheme.
10. SWP and STP (in) cannot be simultaneously registered for a folio for the same scheme.
11. The investor should indicate in his request, the commencement / start date from which the appreciation in investment value should be computed. The withdrawal will commence after one month from the commencement / start date.
12. The application for start of SWP should be submitted to Official Point(s) of Acceptance at least 7 days before the date of commencement / start date of SWP. Unit holder may change the amount (but not below the minimum specified) / frequency by giving written notice to any of the Official Point(s) of Acceptance at least 7 days prior to next SWP execution date.
13. Unit holder can discontinue SWP facility at any time by sending a SWP cancellation form to any of the Official Point(s) of Acceptance, at least 7 days prior to next SWP execution date.
14. If the balance under scheme falls below Rs. 5,000/-, then AMC reserves the right to redeem the balance units.