

# SYSTEMATIC TRANSFER PLAN



Investor must read the Key Information Memorandum, the instructions and product labeling on cover page before completing this Form.

Enrolment Form No. \_\_\_\_\_

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.)						FOR OFFICE USE ONLY (TIME STAMP)
ARN/RIA Code*	ARN/RIA Name	Sub-broker code	Sub broker ARN code	RM code	Employee Unique Identification Number (EUIIN)	
ARN103807					E133071	

Date: 

D	D	M	M	Y	Y	Y	Y
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#By mentioning RIA code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of LIC Mutual Fund.  
**Declaration for "execution-only" transaction (only where EUIIN box is left blank)**  
 I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributors broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales persons of the distributor/sub broker.

I/We hereby declare and confirm that I/we have read and agree to abide by the terms and conditions of the scheme related documents and the terms & condition mentioned overleaf of Systematic transfer Plan (STP) and the relevant Scheme(s) and hereby apply for enrolment under the Systematic Transfer Plan or the following Scheme(s) Options(s). The ARN holder (AMF) registered Distributor) has disclosed to me/us all the commissions (in the for of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Sign Here First / Sole Unit Holder / Guardian	Sign Here Second Unit Holder	Sign Here Third Unit Holder
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Please (✓) any one.  NEW REGISTRATION  CANCELLATION

Folio No. of 'Transferor' Scheme (for existing Unit holder) / Application No. (for new investor) \_\_\_\_\_

Name of the Applicant	PAN# or PEKRN# Aadhaar No.	KYC # (Please ✓)	CKYC
Name of First/Sole Applicant	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>
Name of Guardian in case First/Sole Applicant is a minor	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>
Name of Second Applicant	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>
Name of Third Applicant	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>

# Please attach Proof. If PAN/PEKRN/KYC is already validated, please don't attach any proof.

Name of 'Transferor' Scheme/Plan/Option	Scheme	Plan	Option
Name of 'Transferee' Scheme/Plan/Option	Scheme	Plan	Option

Plan (Please ✓ any one)	<input type="checkbox"/> Fixed Systematic Transfer Plan (FSTP) (Refer Instruction No.9)	<input type="checkbox"/> Capital Appreciation Systematics Transfer Plan (CASTP) (Refer Instruction No.10)												
	<input type="text"/> Amount													
STP Date (Please ✓ one)	<input type="checkbox"/> 1 <sup>st</sup> * <input type="checkbox"/> 7 <sup>th</sup> <input type="checkbox"/> 10 <sup>th</sup> <input type="checkbox"/> 15 <sup>th</sup> <input type="checkbox"/> 21 <sup>st</sup> <input type="checkbox"/> 25 <sup>th</sup> <input type="checkbox"/> 28 <sup>th</sup>	<input type="checkbox"/> 15 <sup>th</sup> ***												
Frequency (Please ✓ any one)	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly	<input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly												
Enrolment Period	From <table border="1"><tr><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	M	M	Y	Y	Y	Y	To <table border="1"><tr><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	M	M	Y	Y	Y	Y
M	M	Y	Y	Y	Y									
M	M	Y	Y	Y	Y									

In case of multiple registrations, please fill up separate Enrolment Forms.

\*Refer Instruction No. 7 \*\*Refer Instruction No. 9 \*\*\*Refer Instruction No. 10

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made there under, for (i) collecting, storing and usage (ii) validating / authenticating and (ii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made there under) and PMLA. I / We hereby provide my / our consent for sharing / disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios with my / our PAN.

SIGNATURE(S)	First / Sole Unit Holder / Guardian	Second Unit Holder	Third Unit Holder
	Please note : Signature(s) should be as it appears on the Application Form and in the same order. (In case the mode of holding is joint, all Unit holders are required to sign.)		

ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)		
LIC MUTUAL FUND		
Date	Enrolment Form No./Folio No.	
Received from Mr./Ms./M/s. _____ from Scheme / Plan / Option _____ to Scheme / Plan / Option _____	'STP' application for transfer of Units;  <table border="1"><tr><td>ISC Stamp &amp; Signature</td></tr></table>	ISC Stamp & Signature
ISC Stamp & Signature		

## TERMS & CONDITIONS / INSTRUCTIONS FOR STP

1. The STP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (✓), where boxes have been provided. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of LIC Mutual Fund.
2. One STP Enrolment Form can be filled for one Scheme/Plan/ Option only.
3. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of LIC Mutual Fund, brokers/distributors and also displayed at the LIC Mutual Fund website i.e. [www.licmf.com](http://www.licmf.com)
4. Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme, Units will be allotted under the same folio number.
5. STP Facility is available only for units held / to be held in Non - demat Mode in the Transferor and the Transferee Scheme.
6. STP offers unit holders the following two Plans: A) Fixed Systematic Transfer Plan (FSTP) B) Capital Appreciation Systematic Transfer Plan (CASTP)
7. FSTP offers transfer facility at daily, weekly, monthly and quarterly intervals and CASTP offers transfer facility at monthly and quarterly intervals. Unit holder is free to opt for any of the Plans and also choose the frequency of such transfers. If no frequency is chosen, Monthly frequency shall be treated as the Default Frequency.
8. Under weekly STP - Friday shall be treated as Default day of transfer.
9. Under Fixed Systematic Transfer Plan (FSTP) - unit holders will be eligible to transfer a fixed amount on daily, weekly, monthly, quarterly intervals. In case the STP date is not specified, the STP transaction will be processed on 1st on every month in monthly/quarterly frequency and Friday for weekly frequency.
  - For daily STP - minimum STP amount should be Rs. 100 and minimum 50 installment should be there.
  - For weekly STP- minimum STP amount should be Rs.500 and minimum 20 installment should be there.
  - For monthly STP- minimum STP amount should be Rs. 1000 and minimum 12 installment should be there.
  - For Quarterly STP- minimum STP amount should be Rs.3000 and minimum 4 installment should be there.
10. Under Capital Appreciation Systematic Transfer Plan (CASTP) --- unit holders will be eligible to transfer the entire capital appreciation amount (minimum Rs.1000) by way of capital appreciation on 15th of each month or (Rs.3000 in case of quarter. Minimum no of installment in case of monthly is 12 months and in quarterly mode is 4)
11. Registration of STP- Registration can happen in 10 calendar days.
  - a. Ceasing of STP- STP will be ceased after 3 consecutive unsuccessful transactions or units of transferor schemes are pledged or upon receipt of the death of the unitholder.
12. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the respective designated Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Transferee Schemes will not be applicable for STP
13. There will be no maximum duration for STP enrolment. However, STPs will be registered in a folio held by a minor, only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The STP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
14. In respect of STP enrollments made in the above-mentioned Scheme(s), the Load Structure prevalent at the time of enrollment shall govern the investors during the tenure of the STP. For Scheme load structure, please refer to Key Information Memorandum or contact the nearest POA(s) Centre of LIC Mutual Fund.
15. LIC Mutual Fund / LIC Mutual Fund Asset Management Limited reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest branch offices or visit our website [www.licmf.com](http://www.licmf.com)
16. Schemes available for STP are given below. The below list is subject to change from time to time. Please contact the nearest branch office of LIC Mutual Fund.
17. Transferor Scheme - LIC MF Equity Hybrid Fund, LIC MF Banking and Financial Services Fund, LIC MF Bond Fund, LIC MF Children's Gift Fund, LIC MF Multi Cap Fund, LIC MF Government Securities Fund, LIC MF Index Fund- Nifty Plan, LIC MF Index Fund- Sensex Plan, LIC MF Infrastructure Fund, LIC MF Liquid Fund, LIC MF Large & Midcap Fund, LIC MF Savings Fund, LIC MF Banking & PSU Debt Fund, LIC MF Debt Hybrid Fund, LIC MF Large Cap Fund.
18. Transferee Scheme - LIC MF Equity Hybrid Fund, LIC MF Banking and Financial Services Fund, LIC MF Bond Fund, LIC MF Children's Gift Fund, LIC MF Multi Cap Fund, LIC MF Government Securities Fund, LIC MF Index Fund- Nifty Plan, LIC MF Index Fund- Sensex Plan, LIC MF Infrastructure Fund, LIC MF Liquid Fund, LIC MF Large & Midcap Fund, LIC MF Savings Fund, LIC MF Banking & PSU Fund, LIC MF Debt Hybrid Fund, LIC MF Unit Linked Insurance Scheme, LIC MF Tax Plan.