APPLICATION FORM

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Please read Product labeling details available on cover page and instructions before filling this Form Application No.:

Distributor/RIA name and ARN/Code	Sub Broker ARN & Name	Sub Broker/Br	ranch/RM Internal Co	de EUIN (Refer	note below)		For Office use only
103807				E1330	71		
I/We confirm that the EUIN box is intent transaction without any interaction or a	tionally left blank by me/us	as this is an	"execution-only"				
Upfront commission shall be paid directly assessment of various factors including th	by the investor to the AMFI in the service rendered by the dis	registered Distributor.	stributors based on	the investors'			
I am a First Time Investor in Mutu			ting Investor in Mu		stry.	Sole / First /	Applicant's Signature Mandatory
1. FIRST APPLICANT'S DETAILS Name of First Applicant (Should	match with PAN/Aadh	ar Card)				D	ate of Birth (1st Appl / Minor) (attach proof)
Name of Guardian (if minor)/PC	DA/Contact Person	1 1				Da	ate of Birth (Guardian)
							D D / M M / Y Y Y Y
Existing Folio	PAN (1st Ap	pl / Guardian)				G	uardian is:
] Father 🗌 Mother 📄 Court Appointed
CKYC - KIN		PAN of PO	A	KYC attac	hed		
2. CONTACT DETAILS AND CORR			(VC records)				
Email ID	ESPONDENCE ADDRES	s (As per l	Arc records)				Address Type (Mandatory)
(in capital) Mobile +91		Tel (STE) Code)				a. Residential & Business
Address			, code)				 □ b. Residential □ c. Business
							d. Registered Office
Landmark							
City		Pin Code (Mandatory			State		
3. KYC DETAILS (Mandatory)		(mandator)			I	1 1 1	
3a. Status of Sole/1st Applicant	(Please tick ✓) ○ Indian	Resident Inc	lividual 🔿 Minor (F	lesident) 🔿 Mir	or (Repatrial	ble) 🔿 Minor 🛛	(Non Repatriable)
 ○ Body Corporate ○ Bank ○ FIs ○ Insura ○ FII ○ FPI-Category I/II/III ○ FCRA ○ G ☞ Are you a Non-Profit Organizat 3b. Occupation Details (Please ○ Agriculturist ○ Retired ○ Hous 	DN ○ Defence Establishment ion [NPO] or Company u/ tick ✓) ○ Private Sect	O NPS Trust (s 25 (Comp or Service	Others Danies Act 1956) o O Public Sector	or u/s 8 of Con	npanies, Act overnment S	t, 2013: □ Ye	s □ No iness ○ Professional
3c. Gross Annual Income (Pleas	se tick ✔) ○Below 1 La	ac 01-5	Lacs 05-10 L	acs 010-25	Lacs	○ >25 Lacs-1 c	crore O>1 crore
Net-worth in (Mandatory for							Y Y Y (Not older than 1 year)
3d. For Individuals (Please tick 4. JOINT APPLICANTS (IF ANY)			tically Exposed Pe	rson O I am	Related to P	olitically Expo	sed Person
Mode of Holding (Please tick		ault)	Anyone or	Survivor		Da	ate of Birth
2nd Applicant (Should match with PAN/Aadhar Card) PAN	CKYC - KIN						D D / M M / Y Y Y
a Occupation Dataila (Diasso	tick () O Driveta Castar	Santica O	Dublic Costor Com		mont Consis		• • Professional
a. Occupation Details (Please • Agriculturist • Retired • He							
b. Gross Annual Income (Pleas	se tick 🗸) 🜼 Below 1 La	c 0 1-5 L	_acs ○ 5-10 Lac	s • 10-25 Lac	:s ○ >25 La	acs-1 crore O	>1 crore
C. Others (Please tick ✓) ○ No	ot Applicable O Political	ly Exposed F	Person (PEP) OR	elated to a Pol	itically Expo	osed Person (PE	EP)
3rd Applicant					Date	e of Birth	D D / M M / Y Y Y
(Should match with PAN/Aadhar Card) PAN	CKYC - KIN						
a. Occupation Details (Please • Agriculturist • Retired • Ho							
 b. Gross Annual Income (Please c. Others (Please tick ✓) ○ No 	se tick ✓) ○Below 1 La ot Applicable ○ Political	c 01-5 L ly Exposed F	Lacs ○ 5-10 Lac Person (PEP) ○ R	\circ 0 10-25 Lac elated to a Pol	itically Expo	acs-1 crore Os osed Person (PE	>1 crore EP)
ACKNOWLEDGEMENT SLIP (To be	filled in by the investor					DSP	MUTUAL FUND
Received, subject to realisation and verificat			ntionedin the applica	tion form.			pplication No.
Scheme	Cheq	ue no.	Amount				
DSP							
	•						

5. FATCA and CR	RS DETAILS											
Sole/	First Applica	nt/Guard	lian		2nd Appli	cant	1		🗌 3r	d Applican	nt [POA
Place & Country	of Birth	PLACE	COUNTRY	Place & Country	of Birth	PLACE	COUNTRY	Place	& Country	of Birth	PLACE	E COUNTRY
Nationality 🗆 Ind	dian 🗆 U.S. 🗆	Other		Nationality 🗆 Ind	dian □U.S. [Other		Natio	nality 🗆 In	dian □U.	S. Other	·
# Please indicate all (Countries, other	r than India	a, in which you are	a resident for tax pur	pose, associated	d Taxpay	er Identification Num nts; 'B' & mention why	ber and i	t's Identifica	tion type eg	g. TIN etc.	prities of the country
of tax residence enter	red above do no	ot require th	e TIN to be disclose	ed.		sieside	nts, D & mention why	you are i				onties of the country
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1			.,perneason	1				1				.,perneuben
2				2				2				
3				3				3				
6. BANK ACCOU	NT DFTAILS	S (Avail	Multiple Bank R	-	itv)							
Bank Name												
Bank A/C No.							А/С Тур	e Sav	rings 🔄 Cu	rrent 🔄 N		FCNR Others
City			Pin			I	FSC code: (11 dig	it)				
MICR code (9 di	igit) (This is a	9 digit num	ber next to your chequ	ie number)								
7. INVESTMENT		NENT DE	TAILS (Defaul	t plan/option/su	ub option wi	ll be a	applied incase of	no inf	ormation	ambigu	itv or diso	crepancy)
Cheque/DD should												
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DSP Please refer Page 2 for Definitions / Instructions / Guidance

FATCA, CRS AND ADDITIONAL KYC

Details and Declaration form Mandatory for Non-Individual Investors, including HUF

intity Name:	
AN	Application No.
olio Nos	
vpe of Address given at KRA Resi	idential or Business Residential Business Registered Office
	ADDITIONAL KYC DETAILS (Mandatory)
Gross Annual Income (Please tick √) Net-worth in ₹	○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore as on ○ ○ / M M / Y Y Y Y (Not older than 1 year)
	DRPORATION and TAX RESIDENCY DETAILS (Mandatory)
City of Incorporation:	Country of Incorporation: Date of Incorporation:
s Entity a tax resident of any country oth han India?	for tax purposes and the associated Tax ID number be
n case TIN or its functional equivalent is not ava	ailable, please provide Company Identification number of Global Entity Identification Number or GIIN, etc.
Country of Tax Residency	TIN or equivalent number Identification Type/Reason*
1.	
2.	
3	
n case the Entity's Country of Incorporatic	on / Tax residence is U.S. but Entity is not a Specified U.S.
Person (as per définition E5), please mentio	ion the exemption code in the box: (refer definition D4)
(Please consu	FATCA and CRS DETAILS (Mandatory) It your professional tax advisor for further guidance on FATCA & CRS classification)
	nstitutions or Direct Reporting NFEs)
Ve are a, (please tick as appropriate)	
Financial Institution	GIIN
(Refer definition A) Or	Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's
Direct reporting NFE (Refer definition B)	GIIN above and indicate your sponsor's name below
	Name of sponsoring entity:
GIIN - Not Available 🗌 Ap	plied for
f the entity is a financial institution, 🗌 No	ot required to apply for - please specify 2 digits sub-category (refer definition C)
No	ot obtained - Non-participating FI
PART II (please fill Any One as app	propriate, to be filled by NFEs other than Direct Reporting NFEs)
Is the Entity a publicly traded company?	
(that is, a company whose shares are regularly traded on an established securities market)	
(Refer definition D1)	Name of stock exchange
Is the Entity a related entity of a publicly traded company?	Yes 🗌 (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)
(a company whose shares are regularly traded on an established securities market)	Name of listed company
(Refer definition D2)	Nature of relation: □ Subsidiary of the Listed CompanyOR □ Controlled by a Listed Company
	Name of stock exchange
Is the Entity an Active NFE?	Yes Also provide UBO Form
(Deter definition D2)	Nature of Business
(kejer definition D3)	
(Rejer definition D3)	Please specify the sub-category of Active NFE (Mention code - refer D3)
(Refer definition D3) Is the Entity a Passive NFE? (Refer definition F2)	Yes Also provide UBO Form
Is the Entity a Passive NFE? (Refer definition E2)	Yes Also provide UBO Form Nature of Business
ls the Entity a Passive NFE? (Refer definition E2)	Yes Also provide UBO Form Nature of Business
Is the Entity a Passive NFE?	Yes Also provide UBO Form Nature of Business

Definitions/ Instructions / Guidance

- A. Financial Institution (FI)- The term FI means any financial institution that is a:
- 1 Depository institution: Accepts deposits in the ordinary course of banking or similar business.
- 2 Custodial institution: An entity that as a substantial portion of its business, holds financial assets for the account of others and where the entity's gross income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
- (a) The three-year period ending on December 31 of the year preceding the year in which the determination is made;
- (b) The period during which the entity has been in existence before the determination is made)
- 3 Investment entity : Conducts a business or operates for or on behalf of a customer for any of the following activities: (a) Trading in money market instruments, foreign exchange, foreign currency,etc. (b) Individual or collective portfolio management. (c) Investing, administering or managing funds, money or financial asset on behalf of other persons. [OR] The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described herein. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of: (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made, or (ii) The period during which the entity has been in existence.
- 4 Specified Insurance company: Entity issuing insurance products i.e. life insurance or cash value products.
- 5 Holding company or treasury company: Is an entity that is a holding company or treasury centre that is a part of an expanded affiliate group that includes a depository, custodial institution, specified insurance company or investment entity

B. Direct Reporting NFE: means a Non-financial Entity (NFE) that elects to report information about its direct or indirect substantial U.S. owners to the IRS

C. GIIN not required: Categories with codes

Code	Sub-Category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, Internationa Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund o a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors and Investment Managers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FI

D. Non-Financial Entity (NFE): Entity that is not a financial institution (including a territory NFE). Types of NFEs excluded from FATCA reporting are as below:

- Publicly traded corporation (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets.
- Related entity of a listed company: The NFE is a related entity of an entity of which is regularly traded on an established securities market;
- 3. Active NFE: (is any one of the following):

Code Sub-Category

- 01 Less than 50 percent of the NFE's gross income for the preceding financial year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- 02 The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
- 03 Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
- 04 The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
- 05 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- 06 The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;

- 07 Any NFE is a 'non for profit' organization which meets all of the following requirements:
 - It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
 - It is exempt from income tax in India;
 - It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;

The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof

Code	Sub-Category
А	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
В	The United States or any of its agencies or instrumentalities
С	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section $1.1472-1(c)(1)(i)$
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
Н	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
Κ	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
М	A tax exempt trust under a section 403(b) plan or section 457(g) plan
14	Owner Documented FI

E. Other definitions

- 1 Related entity: An entity is a related entity of another entity if either entity controls the other entity or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value in an entity.
- 2 Passive NFE: The term passive NFE means any NFE that is not (i) an Active NFE (including publicly traded entities or their related entities), or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations.(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)
- 3 Passive income: The term passive income means the portion of gross income that consists of: (a) Dividends, including substitute dividend amounts; (b) Interest; (c) Income equivalent to interest, including substitute interest and amounts received from or with respect to a pool of insurance contracts if the amounts received depend in whole or part upon the performance of the pool; (d) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE; (e) Annuities; (f) The excess of gains over losses from the sale or exchange of property that gives rise to passive income described in this section.; (g) The excess of gains over losses from thransactions (including transaction, determined by treating the entity as a controlled foreign corporation; or (ii) Active business gains or losses from the sale of commodities, but only if substantially all the foreign entity's commodities are property (h) The excess of foreign currency gains over foreign currency losses; (i) Net income from notional principal contracts; (j) Amounts received under cash value insurance and annuity contracts
- 4 Controlling persons: Controlling persons are natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" shall be interpreted in a manner consistent with the Financial Action Task Force recommendations.
- 5 Specified US Persons Any US Person other than i). A publicly traded corporation; ii). A corporation that is a member of the same expanded affiliate group; iii). A tax exempt organization; iv). an individual retirement plan; v). the United States or an agency or instrumentality of the United States; vi). Any state [including District of Columbia and United States possession] or State Authorities; vii). A bank, viii). A real estate investment trust; ix). A regulated investment company; x). an entity registered with the SEC under the Investment Company Act of 1940; xi). A common trust fund; xii). A tax exempt trust; xiii). A registered dealer; xiv). A registered broker
- 6 Expanded affiliated group: Expanded affiliated group is defined to mean one or more chains of members connected through ownership (50% or more, by vote or value, as the case may be) by a common parent entity if the common parent entity directly owns stock or other equity interests meeting the requirements in at least one of the other members.
- Owner documented FL 7 FI: An meeting the following requirements: (i) The FI is an FI solely because it is an investment entity; (ii) The FI is not owned by or related to any FI that is a depository institution, custodial institution, or specified insurance company; (iii) The FI does not maintain a financial account for any nonparticipating FI; (iv) The FI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and (v) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FI, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FI that holds its interest through a participating FI, a deemed-compliant FI (other than an owner-documented FI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

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This declaration is NOT needed for Companies that are Listed on any recognized stock exchange in India or is a Subsidiary of such Listed Company or is Controlled by such Listed Company

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1 /We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after necessary consultation with tax professionals.

I / We have understood the information requirements of the application form, including FATCA and CRS requirements, terms and conditions (read along with instructions and scheme related documents) and hereby accept the same and further confirm that the information provided by me/us on this form are true, correct, and complete.

Instructions on controlling persons / Ultimate beneficial owner

As per SEBI Master Circular No. CIR/ISD/AMI/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No. CIR/MIRSD/2/2013 dated January 24, 2013, nonindividuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership offentitlement to:
- more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;
 - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
 - (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

3. Exemption in case of listed companies / foreign investors:

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client

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Code	Description
A	Passport
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Ш	Driving License
G	UIDIA / Aadhar letter
н	NREGA Job Card
Ζ	Others

Controlling Person Type [UBO] Codes: Please mention the Code as per table below

CP Type Code	Description
C01	CP of legal person - ownership
C02	CP of legal person - other means
C03	CP of legal person - senior managing official
C04	CP of legal arrangement - trust - settlor
C05	CP of legal arrangement - trust - trustee
000 0	CP of legal arrangement - trust - protector
C07	CP of legal arrangement - trust - beneficiary
C08	CP of legal arrangement - trust - other
C09	CP of legal arrangement - trust - other - settlor equivalent
C10	CP of legal arrangement - trust - other - trustee - equivalent
C11	CP of legal arrangement - trust - other - protector equivalent
C12	CP of legal arrangement - trust - other - beneficiary - equivalent
C13	CP of legal arrangement - trust - other - other - equivalent
C14	Unknown